

ISSN 2077-0375 www.mdpi.com/journal/membranes

Review

Membranes in Lithium Ion Batteries

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Received: 30 April 2012; in revised form: 25 June 2012 / Accepted: 27 June 2012 / Published: 4 July 2012

Abstract: Lithium ion batteries have proven themselves the main choice of power sources for portable electronics. Besides consumer electronics, lithium ion batteries are also growing in popularity for military, electric vehicle, and aerospace applications. The present review attempts to summarize the knowledge about some selected membranes in lithium ion batteries. Based on the type of electrolyte used, literature concerning ceramic-glass and polymer solid ion conductors, microporous filter type separators and polymer gel based membranes is reviewed.

Keywords: lithium ion battery; Li ion conductor; separator; ceramic; polymer

1. Introduction

Since the first primary lithium ion batteries (LIBs) became commercially available in 1991, LIBs caught on quickly and have become the main power sources on the consumer electronics market [1,2]. LIBs are characterized by high specific energy and high specific power (Figure 1), which are the advantages that most other electrochemical energy storage technologies cannot offer [3]. In addition, some other advantages such as high efficiency, long life cycle and low self-discharge rate make lithium-ion batteries well suited for applications such as energy storage grid and electric transportation. Despite the overall advantages, scaling up LIB technology for these applications is still problematic due to safety, costs, operational temperature and materials availability [4].

Figure 2 schematically shows a typical LIB [5], which consists of a cathode ($LiMn_{1.5}Ni_{0.5}O_4$ spinel; layered structure $LiMO_2$; $LiMPO_4$ olivines, M = Mn, Fe and Co) and an anode (intercalated graphite; alloying materials Si and Sn), together with the electrolyte that allows Li ion transport but prevents

electrodes from electronic contact [6]. During charging, Li deintercalates from the cathode and inserts into the anode. When discharging, Li intercalates into the cathode. In the processes of charge/discharge, Li ions transport between the anode and the cathode, which allows electrochemical energy storage within the battery and the conversion of chemical energy into electrical energy.



Figure 1. Ragone plots (power vs. energy density) for different rechargeable batteries [3].

Figure 2. Schematic of a lithium ion battery (LIB) consisting of the negative electrode (graphitic carbon) and positive electrode (Li-intercalation compound) [5].



The electrolyte usually functions as an electronic separator and ionic conductor between cathode and anode. It may consist of solvent, salt, separator, additive, and/or a solid ion-conducting membrane or a combination thereof. As in other electrochemical devices, the electrolyte should be durable in highly reductive and oxidative environments, highly ionic-conductive, and facilitate electrochemical reactions. Accordingly, if a liquid electrolyte, at least including solvent and salt, is used, an additional membrane is required to electronically separate two electrodes. At the same time, this membrane must be porous and allow the liquid electrolyte to flow through. In a commercial LIB, a porous plastic film as separator is soaked in LiPF₆ which is dissolved in a mixture of organic solvents such as ethylene carbonate (EC), ethyl methyl carbonate (EMC), or diethyl carbonate (DEC). If the membrane itself is a Li ion conductor, the liquid electrolyte is not a necessity. Another case is to incorporate the liquid electrolyte into the polymer matrix to form a polymer gel electrolyte. These are the most common types of membranes used in a LIB. The main function of these membranes is to prevent the positive and negative electrodes electrically contacting each other, and allow rapid ionic transport to complete the circuit for the passage of current in lithium ion batteries. Therefore, they play very important roles in lithium ion batteries, and may affect the electrochemical energy efficiency.

2. Solid Li Ion Conductors

To simplify the cell design, and improve safety and durability, a solid electrolyte was used to eliminate the need of the liquid electrolyte. Two general classes of materials used for solid electrolytes in lithium-ion batteries include inorganic ceramics and organic polymers. The most obvious difference between these classes is the mechanical properties. Polymers are generally easier to process than ceramics, which reduce the fabrication costs. On the other hand, ceramics are more suitable for high temperature or other aggressive environments.

2.1. Ceramic-Glass

2.1.1. Na Super-ionic Conductor (NASICON) Structure

Among the LiM₂(PO₄)₃ (M = Ti, Zr, Ge, Hf) NASICONs, the Ti-compound is supposed to exhibit high lithium ion conductivity at room temperature, due to its lower volume resistivity [7], which means the lowest cell volume of LiTi₂(PO₄)₃ should show highest conductivity. Because the NASICON structure permits a wide range of ion substitution at the Ti and P sites making this structure a versatile family of solids, a lot of work focusing on substitution has been performed attempting to improve Li ion conductivity [8–12]. So far, the highest conductivity based on NASICON structure has been observed for Li_{1+x}Al_xGe_{2-x}(PO₄)₃ (LAGP), which can reach 10⁻⁴ S cm⁻¹ [9]. Another commonly used phosphate electrolyte is Li_{1+x}Ti_{2-x}Al_x(PO₄)₃ (LTAP) [11,13], and its modification at P sites (*i.e.*, Li_{1+x+y}Ti_{2-x}Al_xSi_y(PO₄)_{3-y}) [14]. Figure 3 shows the application of LTAP as the electrolyte in lithium-ion batteries [15].



2.1.2. Garnet Structure

The nominal chemical compositions for this kind of structure are $Li_5La_3M_2O_{12}$ (M = Nb, Ta) and $Li_6ALa_2M_2O_{12}$ (A = Ca, Sr, Ba; M = Nb, Ta). Usually the Li ion conductivity based on the garnet structure is within 10^{-7} to 10^{-5} S cm⁻¹ at room temperature [16–18]. The highest conductivity about 10^{-4} S cm⁻¹ at room temperature has been obtained from $Li_5La_3Ta_2O_{12}$ with La sites substituted by Ba and/or Sr [19]. The unique feature of garnet-type materials may be that the total and bulk conductivities are nearly identical, which implies the grain boundary resistance should be very small. For example, $Li_6SrLa_2Ta_2O_{12}$ and $Li_6BaLa_2Ta_2O_{12}$ exhibit mainly bulk ionic conductivities of 8.9×10^{-6} and 5.4×10^{-5} S cm⁻¹ at 22 °C, respectively [20]. According to bond valence models, the Li^+ ion transport pathways in $Li_5La_3M_2O_{12}$ is directly related to the fully occupied octahedral sites [21], which indicates a vacancy-type ion transport is expected to be the dominant contribution. If this is the case, one should be careful when such membrane is used as the electrolyte in LIBs.

2.1.3. Perovskite Structure

The perovskite (ABO₃)-type lithium lanthanum titanate, like (Li, La)TiO₃ (LLTO), shows the highest bulk lithium ion conductivity of 10^{-3} S cm⁻¹ at room temperature, but the high grain boundary resistance makes total conductivity about 10^{-4} S cm⁻¹ [22–25]. According to lithium ion transport properties of LLTO and structurally related materials [26], the high lithium-ion-conducting phase has an A-site deficient perovskite-type structure. Lithium ion conduction occurs due to the motion of lithium ions along A-site vacancies. The ionic conductivity is highly sensitive to the lithium and rapidly undergoes Li-insertion with consequent reduction of Ti⁴⁺ to Ti³⁺, leading to high electronic conductivity. Due to this reason, Ti was substituted by Ta forming a new LLTO, namely La_{1/3-x}Li_{3x}TaO₃. Recently, a crystalline, lithium-stable, fast lithium-ion conductor La_{1/3-x}Li_{3x}TaO₃ directly with a thin copper foil current collector has been demonstrated for a lithium-free solid-state

battery [27]. The conductivity can reach 1.5×10^{-5} S cm⁻¹ at room temperature, which is 15 times higher than amorphous lithium phosphorus oxy-nitride (LiPON) compositions (see Figure 4).

Figure 4. Arrhenius plot for the lithium-ion conductivity of $La_{0.281}Li_{0.155}TaO_3$ compared with data for $Li_6BaLa_2Ta_2O_{12}$ and lithium phosphorus oxy-nitride (LiPON) [27].



2.1.4. Sulfide Glass

Effective ways to improve the Li ion conductivity in Li₂S-based oxysulfide glasses and sulfide glass-ceramics have been discovered: (i) the combination of sulfide and oxide anions; (ii) the replacement of an oxide matrix by a sulfide one; and (iii) the precipitation of super-ionic metastable crystals [28]. Li₂S-P₂S₅ systems have shown very good Li ion conductivity, higher than 10^{-3} S cm⁻¹ [29–31]. The exploration of some other sulfide glasses has also been demonstrated, like Li₃PO₄-Li₂S-SiS₂ glass [32], LiI-Li₂S-Ga₂S₃-GeS₂ [33], and LiI-Li₂S-Sb₂S₃-P₂S₅ [34], *etc.* The rate performances of all-solid-state cells by the utilization of highly conductive glass-ceramic electrolytes were investigated by scientists from Japan. Although Li₂S-P₂S₅ electrolyte based LiCoO₂-In battery showed very stable capacity within 500 cycles, the charge and discharge current density is very small (Figure 5a) [28]. Li-In/Li₄Ti₅O₁₂ cell using 70Li₂S-27P₂S₅-3P₂O₅ solid electrolyte exhibited very poor rate performance (Figure 5b) [35], which is probably caused by disconnected ionic pathway within the electrode.

Lithium superionic conductor (LISICON) [Li₁₄Zn(GeO₄)₄], an important Li ion conductor, is a general name for the glass-ceramic system. Based on some criteria of material design of crystalline ionic conductors (Figure 6): (1) mobile ions should have a suitable size for conduction pathways in the lattice; (2) there should be disorder in a mobile ion sublattice; and (3) highly polarizable mobile ions and anion sublattices are preferable, a new crystalline material family, thio-LISICON, was found in the Li₂S-GeS₂-P₂S₅ system, which showed the highest conductivity of 2.2×10^{-3} S cm⁻¹ at 25 °C together with negligible electronic conductivity, high electrochemical stability, no reaction with lithium metal, and no phase transition up to 500 °C [36].

Figure 5. (a) Charge-discharge curves and cycling performance at 64 μ A cm⁻² for the 500th cycle of In/LiCoO₂ cells with 80Li₂S-20P₂S₅ glass-ceramic [28]; (b) Charge-discharge curves of the all-solid-state Li-In/70Li₂S-27P₂S₅-3P₂O₅/Li₄Ti₅O₁₂ cell (discharge always at 64 μ A cm⁻²) [35].



Figure 6. The concept of material design for the lithium superionic conductor (LISICON) system, and materials belonging to the LISICON (oxides) and the thio-LISICON (sulfides) are summarized [36].



2.2. Solid Polymer Membranes

Containing no organic liquids, such polymer electrolyte is dry and solid. Poly(ethylene oxide) (PEO) is the earliest and most extensively studied system. The ionic conduction of PEO was discovered by Fenton in 1973 [37]. Since then, a lot of work has been done to increase the conductivity of dry polymer electrolytes and reduce the cost of manufacturing of devices incorporating such electrolytes. These complexes are formed by dissolving a lithium salt, LiX, in a PEO polymer matrix

to form Li ion conductor for the application of lithium rechargeable polymer batteries [38–40]. Definitely the lithium salt properties are critical to optimizing these materials for electrolyte applications. The large soft anions are usually preferred to improve ionic conductivity of PEO-LiX polymer electrolytes, for example, the conductivities of PEO with LiClO₄ are within 10^{-8} to 10^{-6} S cm⁻¹ [41,42], while those of PEO with Li(CF₃SO₂)₂N (LTFSI) [43] and Li(C₂F₅SO₂)₂N [44] are between 10^{-5} to 10^{-4} S cm⁻¹. The mechanism of Li ion transport can be described as the motion of the Li ions between complex sites assisted by the segmental motion of the PEO matrix (see Figure 7) [45]. According to this model, the good conductivity can be ascribed to Li ion transport in the amorphous region in PEO. Therefore, the Li ion conductivity can be increased in two ways: (1) reducing crystallization of PEO and (2) weakening the interaction between Li ions and PEO chains. For the former, adding plasticizer [46] or inorganic oxides [47] may achieve that purpose while employing room temperature ionic liquid [48] can affect the interaction of Li ions with polymer.

Figure 7. Schematic of the segmental motion assisted diffusion of Li ions in the poly(ethylene oxide) (PEO) matrix. The circles represent the ether oxygens of PEO [45].



3. Microporous Separators

This type of membranes usually works as the separator in liquid electrolyte batteries. The separator must physically keep anode and cathode from contacting with each other, while enable free ionic transport. Based on the morphology of the separator, there are generally two kinds of separators including microporous membranes and nonwoven films. Although separators are effective in preventing electrical shorts between anode and cathode, their presence in between the two electrodes decreases the effective conductivity of the electrolyte, raising cell impedance. This would be expected since the presence of the separator decreases the total cross sectional area of lithium ion conducting pathway, and the tortuosity of the open pores in the separator prolongs the ionic transport pathway. For this reason, one can imagine that the thinner the separator, the higher the ionic conductivity. However, it has been pointed out that there is a trade-off between the thickness of the separator and its mechanical properties. The detailed requirements for the separator in liquid lithium ion batteries are listed in Table 1 [49].

Parameter	Target	Note
Thickness (µm)	<25	_
MacMullin number	<8	The ratio of the resistance of the separator filled with electrolyte to the resistance of the electrolyte alone
Gurley (s)	~25/mil	The time required for air to pass through the separator
Pore size (µm)	<1	_
Porosity (%)	~40	_
Puncture strength (g/mil)	>300	_
Melt integrity (°C)	>150	_
Chemical stability	Long enough time	_
Thermal stability	<5% shrinkage	_
Tensile strength	<2% offset at 1,000 psi	_
Skew (mm/m)	<2	_

Table 1. Separator requirements for liquid lithium ion batteries (LIBs).

3.1. Microporous Membranes

The materials used for the microporous polymer membranes are semi-crystalline polyolefin materials, like polyethylene (PE), polypropylene (PP) and their blends PE-PP. The preparations of the microporous membranes can be classified into dry process and wet process. A thorough overview of these techniques has been provided previously [49,50]. In the dry process, the melted polymer is firstly extruded into uniaxially oriented tubular film. After a subsequent annealing at a temperature slightly lower than the melting point, the film was then stretched to form micropores through the orientation steps of cold stretch, hot stretch and relaxation. The wet process involves the following procedure: first, mixing polymer resins with paraffin oil, antioxidant *etc.* to form the homogeneous solution; second, extruding the solution to get the gel-like film; third, extracting the paraffin oil and additives to obtain the targeted microporous film.

Table 2 lists some commercial separators based on microporous polyolefin membranes from 5 major manufacturers. Figure 8 shows scanning electron micrographs (SEMs) of some commercial separators [49]. The most attractive feature of the commercial separators is the fuse function, which is very important for protection from the external short-circuit and cell over-charge. Once the temperature reaches the melting point of the separator, the polymer can be flowable, and the pores will collapse forming less porous film or even a dense film, which decreases the ionic conductivity of the battery, stops the electrochemical and chemical reactions and thus protects the battery from thermal runaway. PP and PE separators have shutdown temperatures at about 165 °C and 135 °C respectively [51]. Another research topic based on commercial separator is concerned with the modification of polymer surfaces. To improve the compatibility of membranes/electrodes interfaces and electrolyte holding ability between the electrodes in the Li-ion cell, some functional groups have been attached on the polymer surfaces by electron beam irradiation and plasma [52,53].

Manufacturer	Material
Celgard LLC	PE, PP, PP/PE/PP
Asahi Kasei chemicals	PE, PP, and oxides-modified polyleffin
Entek membranes	PE, PP
SK energy	PE
Tonen	PE, PE-PP

 Table 2. Some commercial separators.

Figure 8. Scanning electron micrographs (SEMs) of (a) Celgard separator using dry process; (b) Asahi separator using wet process; (c) Entek separator using wet process; (d) Tonen separator using wet process [49].



3.2. Nonwoven Films

A nonwoven film is a textile product processed directly from fibers that are bonded together. The fibrous structure of nonwoven materials offers a high porosity, which is necessary for high electrolyte absorbance and low ionic resistance, and results in good charge/discharge cycles of the battery. In contrast to woven materials, the fibers in nonwovens are randomly distributed; an orientated microstructure does not occur. Compared with microporous membranes, a nonwoven film generally possesses much higher porosity and lower weight. The stochastic arrangement of the fibers is the main advantage of a nonwoven material compared with woven structures for the battery separator applications. Furthermore, it is convenient to prepare composite separators by using organic and inorganic materials simultaneously. However, the nonwoven separators have some drawbacks, such as large pore size and thicker nature. The tendency of particle penetration through the separator and the formation of dendrites during over-charging are very high in the Li-ion system. For this reason, membranes with small pores must be used. Table 3 gives the techniques of nonwoven film fabrication, related synthetic materials and film properties [50].

Technique	Material	Film properties
Paper-making process Melt-blowing method Electrospinning	Polyolefin, PA, PTFE, PVDF, PVC, polyester, <i>etc</i> .	High porosity (60%–80%), large pore size (20–50 μm)

Table 3. Fabrication	of nonwoven	films.
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Membranes 2012, 2

Due to the disadvantages mentioned above, nonwoven films themselves are not suitable as the separator in liquid LIBs. There are two ways to employ the nonwoven films: (1) make gel polymer electrolyte as the supporting framework; (2) form composite separator by coating a layer of oxides particles on each side. Degussa commercialized a ceramic separator by coating oxides including alumina, zirconia, and silica on a thin poly(ethylene terephthalate) (PET) nonwoven film (see Figure 9) [54]. Oxide particles were obtained by hydrolyzing the precursors and suspended in an inorganic binder sol, and then the suspension was coated on a porous non-woven PET. After drying the coated PET at 200 °C a composite separator was obtained. Through this method, a separator having small pore-size, high air permeability as well as dimensional stability was developed.

Figure 9. Schematic and SEMs of a Degussa composite separator [54].



4. Gel Polymer Electrolytes

The polymer gel is formed by incorporating liquid electrolyte into the polymer matrix. The ionic conduction mechanism in polymer gels should be very similar to that in liquid electrolytes, and gels have better shape flexibility over liquids. Typically, liquid electrolyte is restrained by polymer chains within the polymer gel. Based on different structures, there are three gel networks (see Figure 10) [55]. The liquid electrolyte can also be restricted by nanofibers, as mentioned in the section of nonwoven films.

Figure 10. Schematic representation of (a) a chemical gel network with junction points; (b) physical gel networks having junction zones and (c) fringed micelles, respectively [55].



Bellcore's plastic Li-ion battery is a successful demonstration of the use of copolymer of vinylidene fluoride with hexafluoropropylene (PVDF-HFP) [56]. The addition of HFP introduces the amorphous domains into the polymer, which enables improved uptake of liquid electrolyte and thereby ionic conductivity. The crystalline regions provide enough mechanical integrity, and an overall plastic self-standing appearance, eliminating the need for cross-linking. A lot of work has been done to try to increase the conductivity of PVDF-HFP gels, such as changing the polymer matrix [57], adding oxide particles like Al₂O₃ and SiO₂ [58], incorporating fully cyanoethylated cellulose derivative (DH-4-CN) [59], electronspinning BaTiO₃ based PVDF-HFP [60], adding cross-linked dipoxy polyethylene glycol (DIEPEG) [61], and employing room temperature ionic liquids [62,63].

Poly(methyl methacrylate) (PMMA) is another important gel polymer, which has good compatibility with the liquid electrolytes, leading to good absorbing ability of the carbonate-based liquid electrolytes [64]. It is usually used to form blends [65], block copolymer [66], and layered structure to decrease the evaporation of the liquid electrolyte [67] or reduce leakage of the electrolyte [68]. A recent finding [69], in which close-packed PMMA particle arrays were introduced to a PET nonwoven separator, may inspire other research work on PMMA in LIBs. With nonwoven PET serving as a mechanical support, the well-connected interstitial voids formed between the close-packed PMMA colloidal particles in the PET nonwoven separator (see Figure 11). The highly-developed nanoporous structure and strong affinity for liquid electrolyte, the composite nonwoven separator allowed for more facile ion transport and superior electrolyte retention, which played a crucial role in improving the cell performance.

Figure 11. (a) Surface SEM of the composite nonwoven separator; the inset is a photograph of Poly(methyl methacrylate) (PMMA) nanoparticles suspension;
(b) Cross-section SEM; (c) AFM photograph of the composite nonwoven separator;
(d) Schematic illustration of nanoporous structure [69].





Poly(acrylonitrile) (PAN)-based gel electrolytes are considered of interest as separators in rechargeable LIBs. The expectation comes from the dimensional stability as well as the high conductivity at ambient ($\sim 10^{-3}$ S cm⁻¹ at 25 °C) and subzero temperatures ($\sim 10^{-4}$ S cm⁻¹ at -20 °C) of these electrolytes [70–72]. Later, the application of PAN-gel electrolyte was demonstrated in C-LiMn₂O₄ cell [73] and Li₄Ti₅O₁₂-LiMn₂O₄ cells [74]. The drawback of polymer gel membranes is that liquid may eventually leak out from the membrane, which is deleterious both in terms of conductivity decay and, particularly, of battery reliability and safety. To solve this problem, oxide particles like Al₂O₃ were added in PAN-based, gel-type membranes [75]. The PAN nanofiber-based nonwoven separators for lithium-ion batteries have been developed by electrospinning technique. The cells using the PAN nonwovens showed better cycling performances than that of the Celgard due to smaller diffusion resistance of the separators [76–78] (see Figure 12).

Figure 12. (a) Initial charge-discharge curves for the cells with the Celgard membrane and the Poly(acrylonitrile) (PAN) nonwoven membranes; (b) Discharge capacities *vs.* cycle numbers of the test cells at the 0.5 C rate [78].



5. Conclusions

In this study, membranes used in lithium ion batteries have been reviewed. These membranes include solid state electrolytes which contains ceramic-glass and polymer Li ion conductors, microporous separators consisting of polyolefin-based microporous separators and nonwoven films, and gel polymer electrolytes. Each type of membrane can find its position in a particular battery application, which depends on specific requirements like rigid or flexible battery design, operating temperature and desired energy and power densities. For example, microporous polyolefin separators can satisfy most common demands in the batteries for mobile electronics. Ceramic Li ion conductors may be quite suitable for micro all-solid-state batteries by employing silicon technologies. For high energy and power density batteries, *i.e.*, EV, HEV and grid energy storage LIBs, the safety requirement is a top priority, for example, present polyolefin separators cannot stand temperatures above the PP melting point (165 °C). Balancing performance, safety and cost should be the most important factors for the future research and development of LIB membranes.

Acknowledgments

Support from American Electric Power and the Virginia Tech Institute for Critical Technology and Applied Science is gratefully acknowledged.

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